



GP 1643/7

RECEIVED

A32362 072396.0174

PATENT 1999

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Giannoukakis et al.  
Serial No. : 09/320,767 Examiner: TBA  
Filed : May 27, 1999 Group Art Unit: 1643 / 1632  
For : GENE TRANSFER TO PANCREATIC  $\beta$  CELLS FOR PREVENTION  
OF ISLET DYSFUNCTION

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on:

December 17, 1999

Date of Deposit

Carmella L. Stephens

Attorney Name

41,328

Registration No.

Carmella L. Stephens

Signature

December 17, 1999

Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent and Trademark Office of references coming to the attention of the individuals associated with the filing or prosecution of the above-captioned application that may be material to the patentability of the subject application, Attorneys for Applicants hereby direct the Examiner's attention to the

following references in reverse chronological order:

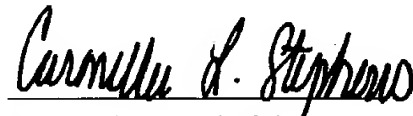
1. Arnush M. et al., 1998, J. Immunol. 160:2684-2691
2. Arnush M. et al., 1998, J. Clin. Invest. 102:516-526
3. Gainer et al., 1998, Transplant Proc. 30:534
4. Guo, Z. et al., 1998, Transplant Proc. 30:589
5. Harrison, M. et al., 1998, FEBS Lett 435: 207-210
6. Hill, D.J. et al., 1998, Diabetes Care 21 Suppl. 2 B 60-69
7. Petrik, J. et al., 1998, Endocrinology 139:2994-3004
8. Russell, J.W., 1998, J. Neurobiology 36:455-467
9. Cailleau C. et al., 1997, Diabetes 46:937-940
10. Gainer, A.L. et al., 1997, Transplantation 63:1017-1021
11. Mabley J.G., et al., 1997, FEBS Lett 417:235-238
12. Sandberg et al., 1997, Clin. Exp. Immunol. 108:314-317
13. Hardy, et al., 1997, J. Virol. 71:1842-1849
14. Scarim, A.L., 1997, Biochem. Biophys. Acta 1361:313-320
15. Stassi G. et al., 1997, J. Exp. Med. 186:1193-1200
16. Weber et al., 1997, J. Surg. Res. 69:23-32
17. Benhamou, P.Y. et al., 1996, Transplantation 62:1306-12
18. Bergerot I et al., 1996, Diabetes Metab. 22:235-9
19. Kaino Y. et al., 1996, Diabetes Res. Clin. Pract. 34:7-10

20. McDaniel et al., 1996, Proc. Soc. Exp. Biol. Med. 211:24-32
21. Tisch and McDevitt, 1996, Cell 85:291-297
22. Yamada K. et al., 1996, Diabetologia 39:1306-1312
23. Bergerot I. et al., 1995, Clin. Exp. Immunol. 102:335-40
24. Csete, M. et al., 1995, Transplantation 59:263-8
25. Jones, J.I., 1995, Endocr. Rev. 16:3-34
26. Nagata S. et al., 1995, Immunol. Today 16:39-43
27. Symons, J.A., 1995, Proc. Natl. Acad. Sci USA 92:1714-1718
28. Bach, 1994, Endocr Rev. 15:516-542
29. Bolaffi J. et al., 1994, Endocrinology 134:537-542
30. Corbett et al., 1994, Biochem. J. 299:719
31. Csete, M.E. et al., 1994, Transplant Proc. 26:756-7
32. Lacy, 1994, Mt. Sinai J. Med. 61:170-174
33. Corbett, J.A. et al., 1993, Auto Immunity 15:145-153
34. Reddy et al., 1993, Pancreas 8:602-608
35. Brennan, 1992, Curr. Opin. Immunol. 4:754-759
36. Corbett, J.A. et al., 1992, J. Clin. Invest. 90:2384-2391
37. Corbett et al., 1992, Biochem. J. 287:229-235
38. Dinarello, CA, 1992, Eur. Cytokine Netw 3:7-17
39. Dinarello, CA, 1992, Kidney Int. Suppl. 38:S68-S77

40. Granowitz et al., 1992, Blood 79: 2364-2369
41. Hill and Hogg, 1992, Adv. Exp. Med. Biol. 321: 113-20
42. Dripps, D.J., 1991, J. Biol. Chem. 266: 10331-10336
43. Dripps, D.J., 1991, J. Biol. Chem. 266:20311-20315
44. Hill and Hogg, 1991, Baillieres Clin. Endocrinol Met 5: 689-98
45. Lacy et al., 1991, AM J. Pathol. 138:1183-1190
46. Thompson, R.C., 1991, Agents Actions Suppl. 35:41-49
47. Hill, D.J. et al., 1987, Diabetes 36: 465-471

Applicants respectfully request that the Examiner review the foregoing references pursuant to 37 C.F.R. § 1.97(b) and that the references be made of record in the file history of the above-identified application. Listing of these references is not an admission by Applicants or Attorneys for Applicants that any such reference is prior art against the instant application. Please charge any fee required to our Deposit Account No. 02-4377. Two copies of this paper are enclosed.

Respectfully submitted,



Rochelle K. Seide  
PTO Reg. No. 32,300  
Attorney for Applicants

Carmella L. Stephens  
PTO Reg. No. 41,328  
Agent for Applicants

(212) 408-2500

Enclosures